



YEARS OF SERVICE

Educate. Empower. Protect

Belize

FSC

Financial Services Commission

Industry - Information Sharing Session

July 24th 2025

Best Western Plus Belize Biltmore Plaza

Objectives

- FSC's Strategic Plan 2025-2027
- Share highlights of activities for 2025 related to Licensing (FSCA) & Authorisations.
- Discussion and update on FSC's Management Information System
- The Securities Industry Act: Implementation and VASP Updates
- Highlights of activities for 2025 related to regulatory compliance, inspections, surveillance and other matters.
- Highlights of activities for 2025 related to Legal and Regulatory Development and Enhancements.
- FSC's 2025 Communication and Public relations Strategy And Plan

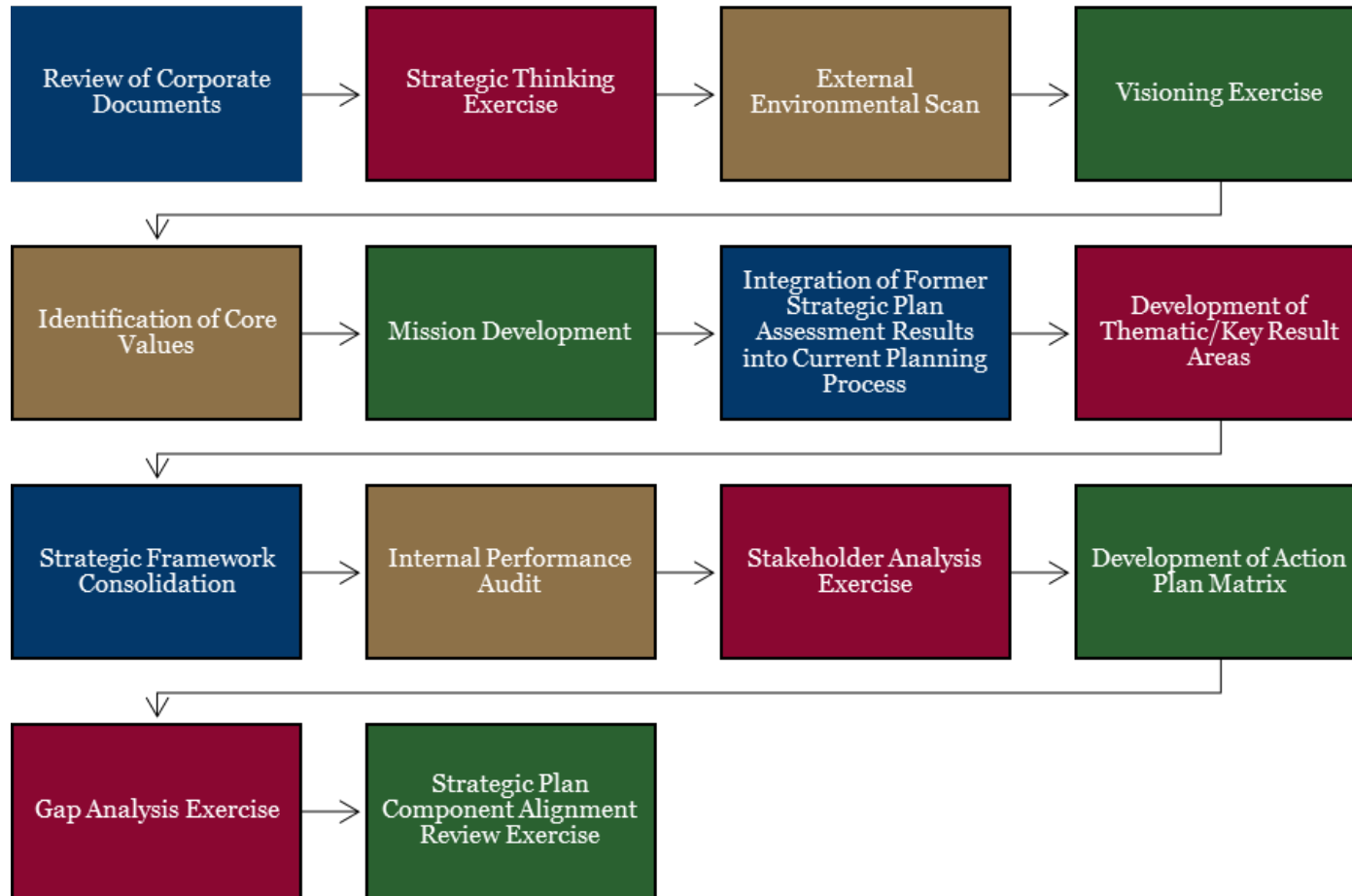


STRATEGIC PLAN 2025-2027

Strategic Planning Process

- The overall strategic planning exercise was undertaken between April-December 2024 (8 months)
- The core FSC strategic planning team comprised fifteen members, including some Commission members, senior management, and technical staffers.
- The FSC Strategic Plan (2025-2027) was built on results from an assessment of the last FSC Strategic Plan (2020-2023).

Strategic Planning Process



The Strategic Framework

- The FSC Strategic Framework (2025–2027) is a structured roadmap designed to guide the FSC in fulfilling its vision and achieving its mission for the non-banking financial sector.
- This framework integrates the organization's core values and key result areas (KRAs) into a cohesive strategy.

The Strategic Framework

VISION		
An adaptable regulator that fosters competitiveness, fairness, safety, and soundness in the financial sector and facilitates capital formation.		
MISSION		
To protect investors; ensure fair, efficient, and transparent markets; reduce systemic risks and support the growth and development of Belize's evolving financial services industry,		
VALUES		
Adaptability	Integrity	Accountability
THEMATIC AREAS - KRAs	GOALS	OBJECTIVES
1. Stakeholder Cooperation	1.1 Increase understanding and support for the non-banking financial sector.	1.1.1 Increase public, stakeholder awareness and understanding of the FSC's regulatory regime and Belizean non-banking financial services Market. 1.1.2 Strengthen support for FSC's work through stronger and sustained engagement with stakeholders.
	2.1 Enhance market confidence in and reputation of the Belizean non-banking financial service sector.	2.1.1 Ensure compliance with all relevant financial services statutes. 2.1.2 Ensure compliance with international standard setters.
2. Financial Safety and Stability	2.2 Ensure that the regulatory framework is fit-for-purpose and meets the needs of the Belizean non-banking financial services market.	2.2.1 Address the needs of the Belizean non-banking financial services market. 2.2.2 Strengthen the regulatory framework. 2.2.3 Address the evolving international regulatory landscape.
	3.1 Leverage technology to drive successful business outcomes.	3.1.1 Improve internal processes by integrating technology. 3.1.2 Base decision-making process on reliable data.
	3.2 Improve security posture in the information system.	3.2.1 Strengthen information security in all processes and operations.
4. Governance and Management	4.1 Strengthen organizational governance and managerial effectiveness.	4.1.1 Monitoring & Evaluation of Strategic Plan. 4.1.2 Build HR Capacity. 4.1.3 Strengthen Financial & Accounting functions.

Key Result Area 1: Stakeholder Cooperation

- KRA 1 focuses on fostering a collaborative environment between the FSC and its diverse stakeholders, ensuring mutual understanding and support for the non-banking financial sector ultimately creating a more resilient regulatory environment that supports Belize's economic growth and financial stability.

Key Result Area 2:

Financial Safety and Stability

- KRA 2 focuses on fostering a secure, transparent, and resilient non-banking financial sector in Belize through robust regulatory frameworks and proactive oversight.

Key Result Area 3: Technology Optimization

- KRA 3 focuses on leveraging technology to enhance the FSC's operational efficiency, decision-making, and cybersecurity.
- .

Key Result Area 4: Governance and Management

- KRA 4 focuses on strengthening the FSC's governance structures and management practices to ensure efficient, transparent, and strategically aligned operations.

Strategic Plan 2025-2027



2025 Prioritized Objectives

VISION

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3. Technology Optimization	3.1 Leverage technology to drive successful business outcomes.	3.1.1 Improve internal processes by integrating technology.
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4. Governance and Management	4.1 Strengthen organizational governance and managerial effectiveness.	4.1.1 Monitoring & Evaluation of Strategic Plan.
		4.1.2 Build HR Capacity.
		4.1.3 Strengthen Financial & Accounting functions.

Implementation

- Implementing the FSC Strategic Plan will be managed by a dedicated Monitoring and Evaluation (M&E) function housed within the Operations Department.
- This M&E function will conduct regular performance reviews and monitor the completion of strategic actions.



LICENSING & AUTHORISATIONS

Our Role the Regulatory Ecosystem

- Gatekeepers of the industry
- Ensure only fit and proper persons/entities are licensed
- Balance market development with investor protection

2025 Strategic Goals

- Investor Education
- Lead in the development and implementation of a digital asset regulatory framework
- Improve internal processes by leveraging technology
- Developing Expertise through Structured Training

Investor Education Initiatives

- 8 Outreach sessions conducted for 2025
 - Expos
 - Presentation to students of tertiary institutions'
 - Presentation to staff of financial institutions
- 2 Webinars conducted
 - 2 additional webinars to be conducted before year end.

Recent and Upcoming Regulations

- FINANCIAL SERVICES COMMISSION (NOMINEE SHAREHOLDERS AND DIRECTORS) REGULATIONS, 2025
 - Draft- to do industry consultation
- SECURITIES INDUSTRY (AMENDMENT) REGULATIONS 2025.
 - Real estate fund- has a market value capitalization of at least \$10,000,000.00; and invest at least 75% of its assets in real estate interests.
 - Overseas Regulated Real Estate Fund

Engagement and Support

- Meetings/discussion- in person or online
 - Pre-application meetings
 - Clarification needed on procedures
- Resources available to help industry stakeholders
 - Revamped website
 - FAQs
 - Guidelines published on website

Applying for a License (FSCA)



Latest Publications

FSC's Inaugural Open Day

FSC's General Events Calendar 2025

More →

Other Pages

<https://www.belizefsc.org.bz/guidance-note/#>



- Types of Licenses
- New Application
- Renewal
- Licensing Fees

Applying for a License >

Applying for an Authorisation >

License Verification

Licensed Service Providers

Approved Corporate Compliance Providers



Guidance for License

The Financial Services Commission is responsible for the licensing, regulation and supervision of firms engaged in the conduct of financial services in and from within Belize pursuant to the Financial Services Commission Act, Act No. 8 of 2023. The Commission has the duty, in collaboration with its licensees, to promote and maintain high standards of conduct and management in the provision of financial services.

This page will include all practical guidance for Licensees/FS Practitioners.

Applying for an Authorisation



Search



Latest Publications

FSC's Inaugural Open Day

FSC's General Events Calendar 2025

More →

Other Pages

<https://www.belizfsc.org.bz/types-of-material-changes-authorisations/#>

Types of Authorisations
(Material Changes)

New Application for
Authorisation (Material Changes)

Authorisation (Material Changes) Fees

Applying for
a License

Applying for
an Authorisation

License Verification

Licensed Service Providers

Approved Corporate Compliance Providers

Types of material changes

Under the Financial Services Commission Act ("FSCA"), persons licensed by the Commission must comply with the notification and approval requirements outlined in Section 37 and 38. These provisions establish a duty to notify or seek prior written approval from the Commission for certain updates to their license.

Duty to Notify changes relating to registration (S. 37 FSCA):

Applying for a Registration (SIA)

The screenshot displays the Belize FSC website interface. At the top, a dark green navigation bar contains links for 'International Cooperation', 'Domestic Cooperation', 'Career', and 'FAQs'. Below this, the Belize FSC logo is on the left, and a main navigation menu includes 'Home', 'About Us', 'Regulatory Framework', 'Licensing', 'Securities', 'Compliance', 'Enforcement', and 'Resources'. A search bar is located on the left side of the page. The main content area features an article titled 'Types of Material Changes/...' with a dropdown menu open over it. The dropdown menu lists various categories: 'Types of Securities Registrations', 'New Registration', 'Securities Fees', 'Applying for a Registration', 'Municipal Securities', 'Supervision', 'Registered Individuals & Companies', 'Registered Approved Auditors', 'Non-Registered Companies and Individuals', 'Filed Prospectus/Offering Document', 'I-SCAN Alerts', and 'Investor Centre'. The article text is partially visible, mentioning the Financial Services Commission Act (FSCA) and notification requirements. A URL is visible at the bottom of the page: <https://www.belizefsc.org.bz/types-of-material-changes-authorizations/#>

Forms – Licensing & Authorisations and Securities

The screenshot displays the Belize Financial Services Commission (FSC) website. At the top, a dark green navigation bar contains links for International Cooperation, Domestic Cooperation, Career, and FAQs. Below this, a white navigation bar features the FSC logo and a main menu with links to Home, About Us, Regulatory Framework, Licensing, Securities, Compliance, Enforcement, and Resources. A search bar is located on the left side of the page. The main content area features a large image of a golden statue of Lady Justice. A dropdown menu is open over the 'Licensing' link, listing various categories: Publications, Public Notices, Guidelines & Guidance Notes, Forms, Fees, News & Press Releases, Policies, Events, Licensing & Authorisation Forms, Securities Forms, Compliance Forms, and Other Forms. The page content includes a section titled 'Types of Material Changes/...' with a sub-section 'Duty to Notify changes relating to registration (S. 37 FSCA):'. The text under this section reads: 'Under the Financial Services Commission Act ('FSCA'), with the notification and approval requirements outlined in Section 37 and 38. The duty to notify or seek prior written approval from the Commission for certain updates to their license.'

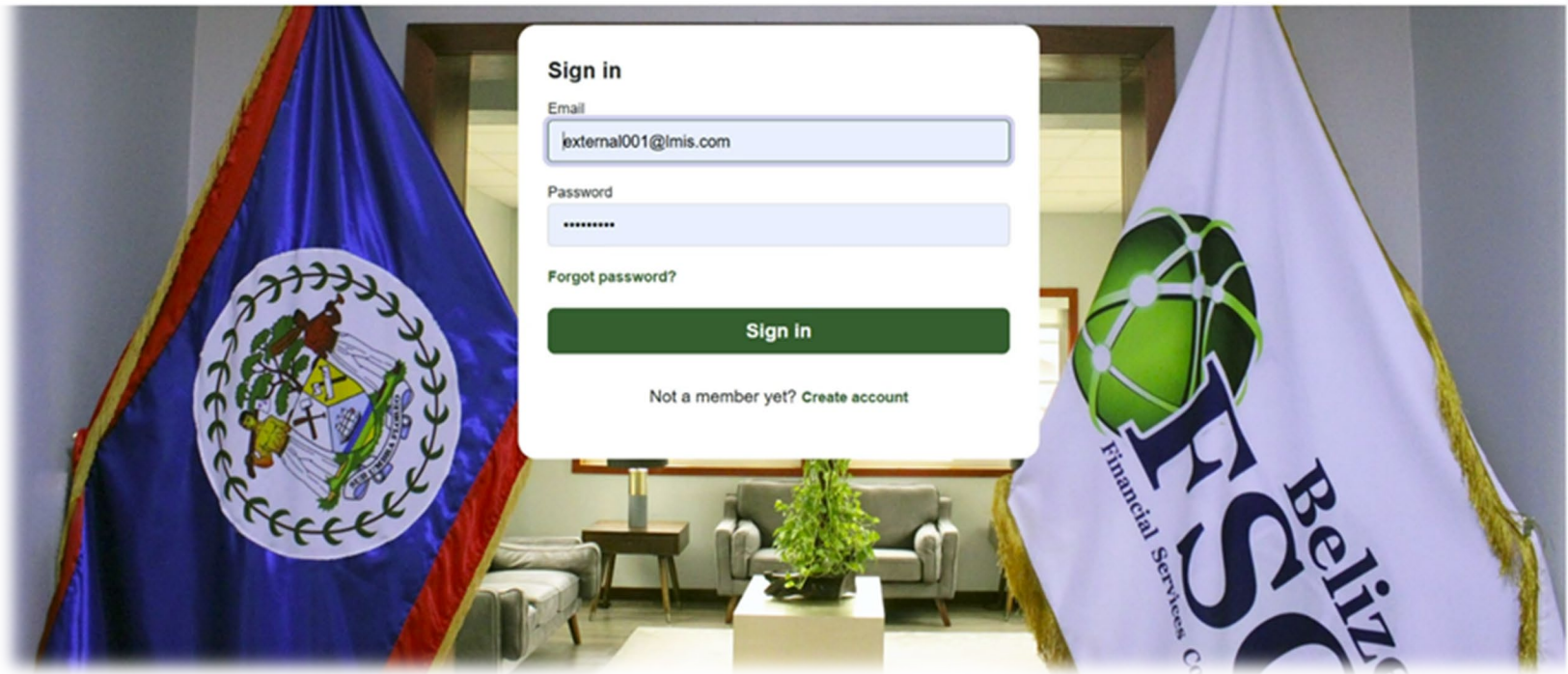


UPDATE ON FSC'S MANAGEMENT INFORMATION SYSTEM

LicenSys



English ▾



Overview -LicenSys

- LicenSys is a modern, digital Licensing and Information Management System designed to enhance the efficiency, transparency, and accessibility of the FSC's licensing and regulatory responsibilities.
 - The platform will support end-to-end digital processing for licensing, renewals, amendments, compliance and reporting.
-
- **Start Date:** 13th January 2025
 - **End Date:** 13th January 2027

Vision and Strategic Objectives

- Vision for a modern, efficient, and transparent licensing framework

Core Functionalities

Centralized Online Applications

Licensees can submit license applications through a single, user-friendly digital platform—eliminating the need for email-based submissions and streamlining the process.

Secure Document Upload and Management

Applicants can upload required supporting documents directly to the system and monitor the status of their applications in real time.

Automated Alerts and Notifications

The system automatically sends timely reminders and updates regarding upcoming renewals, missing documentation, requests for corrections, and final decisions (approval or rejection).

Integrated Review and Decision-Making Workflow

Internal reviewers and decision-makers can review applications, provide feedback, and finalize approvals within the platform, significantly reducing processing time.

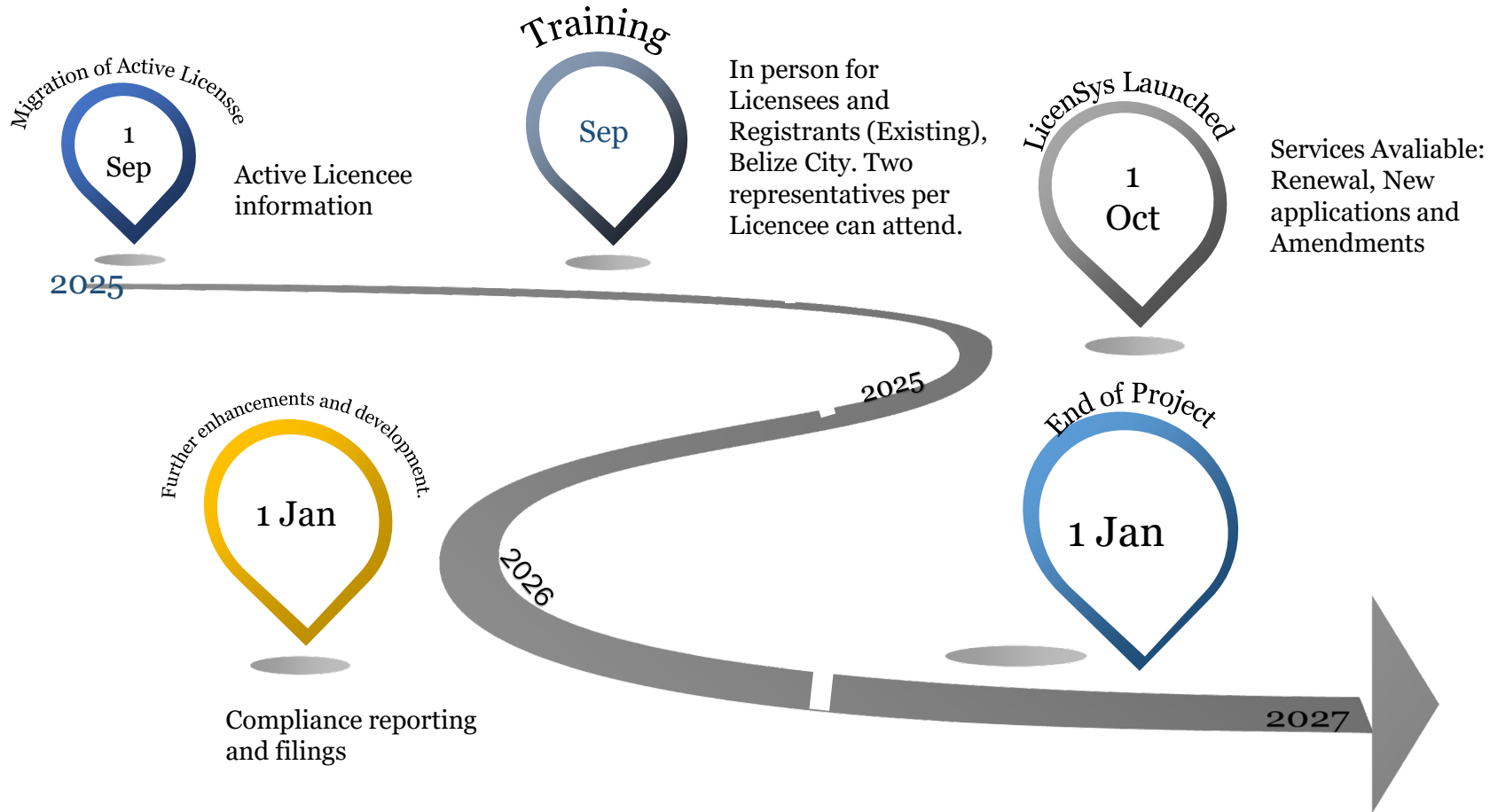
Accessible Guidelines and Resources

Users will have easy access to up-to-date guidelines, checklists, and help resources to support the application process

Impact

- **Faster and More Efficient Service Delivery**
Streamlined processes result in reduced turnaround times and quicker responses to applicants.
- **Stronger Compliance and Regulatory Oversight**
Automated workflows and centralized data improve monitoring, enforcement, and transparency.
- **Improved Accessibility and User Experience**
A user-friendly interface allows applicants to access services anytime, anywhere, with greater ease.
- **Strengthened Business Environment**
A more efficient licensing process supports economic growth and fosters a more competitive and investor-friendly ecosystem.

Roadmap to Implementation



Preview of System

The screenshot displays the Belize Financial Services Commission (FSC) website interface. At the top left is the Belize FSC logo, and at the top right is a language selector set to English. A sign-in form is overlaid on the page, featuring fields for Email (containing 'external001@lms.com') and Password (masked with dots), a 'Forgot password?' link, and a green 'Sign in' button. Below the button is a link for 'Not a member yet? Create account'. The navigation bar includes a menu icon, a notification icon, the language selector, and a user profile for Arno Alberto Jakubowski, representing RA 1318865 Esta Considine. The main content area features a 'Welcome' banner with a search bar. Below this, there are two main sections: 'Licensing & Authorisations' and 'Person Verification'. The 'Licensing & Authorisations' section has a dark blue header 'REGISTRATION' and a card for 'Apply for a New Licence/Registration in SI' with 'Info' and 'Apply' buttons. The 'Person Verification' section has a dark blue header 'INFORMATION' and a card for 'Verify Yourself by Passport' with an 'Apply' button.



English

Arno Alberto Jakubowski
Represents RA 1318865 Esta Considine



Arno Alberto Jakubowski

Represents RA 1318865 Esta Considine



Applications

Applications created by me

-  Submitted Applications >
-  Incomplete Applications (Drafts / Returned for Supplement) >

Registers

Register records and e-certificates

-  Licensing & Authorisations >

Messages

Messages received from the Register


-  Inbox >

Representations

List of representatives of the chosen account

-  Representatations >





Preview of System

☰ ✉  English ▼

Arno Alberto Jakubowski
Represents RA 1318865 Esta Considine ▼

Home My Office Representations List

← Representations Add New

Represented Party Name ⚙	Representative Name ⚙	Valid from ⚙	Valid to ⚙
RA 1318865 Esta Considine	Malinda Marta Skiles	07/07/2025 06:20 PM	 
RA 1318865 Esta Considine	Arno Alberto Jakubowski	07/07/2025 06:20 PM	 

Items per Page 1 – 2 of 2 ⏪ ⏩

Representation Details

Representative

Account Type of Representative *

IndividualAccount

First Name *

Arno

Middle Name

Alberto

Last Name *

Jakubowski

Identity Document Issued By *

Belize

Identification Type *

Passport Number

Identification Number *

10052823

Birth Date

31 January 1961

E-mail *

carter.powlowski@hotmail.com

Phone Number

(501) 501-3897

Can Manage Representations

Valid From *

07/07/2025

Valid To

Back

Save



General Info



Licensee/Registrant



Related Persons



Documents

Application Details



Tracking No

T250721-LA5971

Service Name

Apply for Renew of a Registered Licence/Registration in SI

Created on

21/07/2025 04:48 PM

Status

Pending

Contact Person



General Information

Number of Licence/Registration

9395099

Former Number of Licence/Registration

Load

Clear

Registration Under the Act

Financial Services Commission Act

Category

Registered Agent to Provide Company Formation and Management Services Only

Features

- **Identity verification-** Social Security ID and Passport
- **Payment services-** Wire Transfers, E- commerce- credit and debit cards
- **Email notifications-** application decision and status, reminders- Renewals, status of licence, compliance filings.
- Registrants/ Licencees have the ability to add and manage users under its institution.

What to expect for 2026 Renewal

- Renewals for 2026 will be done in LicenSys as of October 1 2025- when system goes live.
- A representative (empowered user) will be onboarded. A form will be shared to provide information on this user.
- Information that will be migrated to LicenSys will be information on file and for Business Entities, information registered in OBRS.
- Status of Licence will be communicated to OBRS- sytem to system

Fees

- No change to existing fees
- Payment solutions that will be integrated:
 - Wire Transfer – amount and proof entered in system
 - E- Commerce- Credit and debit card payments

Integration with OBRS

- Seamless data sharing and cross-validation
- Enhanced coordination between registration and licensing
- Reduced duplication and improved efficiency

Upcoming activities

- Further information on Training will be communicated.
- Further information on guidance in how to use the system
- A form will be disseminated to collect information on representative (empowered user)
- Renewals can be submitted via LicenSys as early as October. Act early



Digital Assets

Current Status

- Currently banned by the Financial Services Commission Act, 2023.
 - Ban set to expire on December 31, 2025.
- The intention is to develop an effective regulatory framework that will allow for the development of a digital asset industry to operate in Belize.

Steps Toward Implementation

- FSC Questionnaire on Future Regulation of Virtual Assets- Created and disseminated to Registrants, international organizations, and the general public.
- Instituted the Digital Asset Steering Group (DASG) consisting of other Regulators, Professional associations, relevant Government Representatives.

Questionnaire

AIM: Gather information from market participants on the most efficient forms of regulation and design a roadmap to develop a future regulatory framework for digital assets in Belize.

The Commission's starting point in its journey to develop a regulatory framework is to be guided by IOSCO's 18 Recommendations.



Digital Asset Steering Group (DASG)

The DASG will provide advice to the FSC on the following issues:

The type of regime that will be implemented

Risk Management

Defining of policy objectives

Support capacity building and implementation planning

Stakeholder engagement

Public education

Regional and international cooperation

Coffee Break-10 minutes





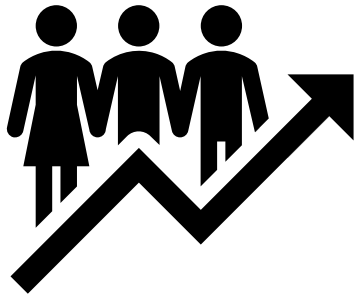
COMPLIANCE

Strengthening the Regulatory & Supervision Program

Highlights of Activities for 2025

Primary 2025 Strategic Action of the Compliance Department

Integrating a risk-based approach aligned with international standards and best practices to the Commission's Regulation and Supervision Program



International Standards

Regulation:

Global Forum (GF)

Establish and promote tax co-operation

Tax Transparency Standards

International Organization of Securities Commissions (IOSCO)

Develop, implement and promote adherence to internationally recognized standards for financial markets regulation

Objectives and Principles of Securities Regulation (38 Prin.)

Supervision:

Financial Action Task Force (FATF)

Develop and promotes policies to protect the global financial system against ML, TF, PF

The FATF Recommendations (40 Recommendations)

Legal and Regulatory Framework

REGULATION:

- Financial Services Commission Act
- Securities Industry Act
- Belize Companies Act
- Trust Act
- Limited Liability Partnership Act
- Limited Liability Companies Act
- Foundations Act
- Accounting Records Maintenance Act
- Economic Substance Act

SUPERVISION:

- Money Laundering and
- Terrorism (Prevention) Act
- Non-Profit Organizations Act
- Non-Governmental
- Organizations Act
- Belize Companies Act

Understanding Risk

Global Forum – risk of corporate vehicles such as companies, trusts, foundations, partnerships, and other types of legal persons and arrangements being used to commit tax crimes or hinder tax cooperation.

IOSCO – Identifying and understanding sources of risk that can cause harm to investors, markets or the wider financial system.

FATF – risk of abuse for money laundering, terrorist financing and proliferation financing.

Risk Assessment of Registrants and Licensees

Regulatory Risk

- Understanding key risk areas of businesses:
 - Business model and activities
 - Products/Services that it offers
 - Quality of governance, controls and risk management



Risk Assessment of Registrants and Licensees

Money Laundering and Terrorist Financing Risk:

- Understanding the potential to be exploited to conceal the origins of funds derived from criminal activities or facilitate the financing of terrorist activities.
 - Customers
 - Countries or Geographic Areas
 - Products, Services, Transactions
 - Delivery Channels
 - Preventive Measures



Regulation and Supervision Tools

- Onsite Examinations
- Offsite Examinations
- Desk-Based Reviews
- Activities to Promote Understanding of Obligations
 - Webinars
 - In-person engagements
 - Published Guidance



The Road Ahead

Additions to Oversight Activities:

- Digital Asset Service Providers
- Enhanced Focus on NPOs and NGO
- Ensuring Implementation of Principles for Issuers
- Insolvency and Bankruptcy





LEGAL & ENFORCEMENT

Building the Legal & Enforcement Program

Reflecting on 2024 and key Highlights for 2025

L&E Strategic Vision for 2025

Key Components
of the Legal &
Enforcement
Department's
Strategic Vision
for 2025:

Streamlining legal compliance and regulation.

Enhancing financial transparency (Accounting Records, BO and LO Information).

Driving legal compliance through optimised enforcement mechanisms.

Strengthening inter-agency cooperation.

L&E Efforts to Improve Legal Compliance of Trusts

1. Monitoring Aligned with Local and International Regulatory Frameworks.

- Trusts Act, Chapter 202 (R.E 2020); International Trusts Regulations (2007); Financial Services Commission Act (2023); Belize Companies Act (2022);
- FATF Recommendations 10, 22, 24 & 25; OECD CRS

2. Routine and Targeted Inspections of Trusts.

3. Increase in Enforcement Actions and Improved Compliance.

Show Cause Letters, Notices of Non-Compliance, Fines and Administrative Penalties.

Strengthening the Oversight of Trusts

1. Enhanced scrutiny of trust registrations and declarations

Sections 3, 65, 65A, 65B of the Trusts Act; Regulation 6 of the International Trusts Regulations.

2. Verification of licences for Trust Agents and Trust Service Providers.

Section 27 of Trusts (Amendment) Act, 2023 ; Section 3 and Schedule of the Financial Services Commission Act

3. Increased focus on compliance with filing and reporting obligations – Annual Attestation Reports

Section 27 of Trusts (Amendment) Act, 2023; FATF Recommendation 25

4. Monitoring of trusts used in layered ownership structures and Corporate Ownership

Accounting Records: A Key Compliance Priority

1. **Statutory obligation to maintain accurate and up-to-date records.** Sections 3 & 4 - Accounting Records (Maintenance) Act, Chapter 261 (as amended); Section 88 - Belize Companies Act.
2. **Focus on timeliness of filing submissions.**
3. **Instances of non-compliance are escalated for appropriate enforcement action and/or administrative fines.**

Verification of Beneficial & Legal Ownership - Ensuring Transparency

1. Statutory obligation to maintain accurate and up-to-date records.
2. Focus on Timeliness of Submissions.
3. Cross-checking of legal and beneficial ownership filings with corporate documents in the OBRS.
4. Instances of non-compliance are escalated for appropriate enforcement action.

Emerging Trend: Increase in Number of Tampered Documents Submitted via the OBRS

1. Instances of attempts to submit forged and altered documents via the OBRS.
2. Internal investigations were triggered by document irregularities observed by BCCAR.
3. Referral of these cases to the Belize Police Department and to the Financial Crimes Working Group for Domestic Cooperation.

Looking Ahead - Strategic Focus for 2025 and Beyond

1. Finalization of upcoming legislative amendments.
2. Continued emphasis on transparency, legal and beneficial ownership, compliance with reporting obligations, with a view to instilling a culture of statutory compliance among regulated entities.
3. Training and capacity building initiatives.
4. Monitoring and evaluation of L&E initiatives and improvements.



COMMUNICATIONS AND PUBLIC RELATIONS

Key Result Area, Strategic Goals, Objectives for Communication and PR

Key Result Area: Stakeholder Cooperation

Goal: Increase understanding and support for the non-banking financial sector.

Objective: Increase public and stakeholder awareness and understanding of the FSC's regulatory regime and Belizean non-banking financial services market.

Strategic Actions 2025-2026

- Revision of FSC's Communication and PR Policy and Strategy
- Design and implement a customized investor education program focused on capital markets
- Boost and monitor the utilization of FSC's Webinar program platform to enhance our public outreach efforts
- Other Actions to Increase public and stakeholder awareness and understanding of the FSC's regulatory regime
- Collaboration and partnerships

Design and Implement a Customized Investor Education Program

Purpose

The framework to develop and enhance dissemination of investor and financial educational materials while building awareness and increased knowledge of basic investment principles and financial practices.

Vision

For new and potential investors in Belize to become aware and access financial education resources to enhance their understanding and financial skills with knowledge of key financial and investment concepts.

Design and Implement a Customized Investor Education Program

Target	Description
New/Potential Investors	Foundational knowledge on types of Investment options, risk levels and diversification.
Youths/Students and General Public	Basic understanding of personal finance strategies, and introduction to investment options.
Seasoned Investors	Deeper insight into regulatory updates, advanced investment strategies, and investment trends.
Registered Entities	Updates on compliance and regulatory requirements.

Design and Implement a Customized Investor Education Program

MAJOR CAMPAIGNS	DESCRIPTION
Public Education Awareness	<p>Public campaigns including social media, webinars and other channels to encourage potential investors to learn more about investment opportunities.</p> <p>Inaugural FSC Open Day on August 8, 2025.</p>
World Investor Week Activities	<p>The 2nd time the Financial Securities Communication will be participating in IOSCO's WIW in collaboration with other financial institutions and regulators to expand our reach and channels.</p> <p>A week of activities (Oct 6 to Oct 10) to enhance awareness for Investor Protection and Education.</p>
Investor Centre Resources	<p>Continuously enhancing available investor resources and guidance on the FSC website for new and seasoned investors.</p>

Design and implement a customized investor education program-Tactics and Channels

Social Media/Online Presence

In Class Presentation/School Outreach

Outreach/Community Events

Webinar Program

Materials/ Online Resources

Public and Investor Education Program Launch



2025 PUBLIC EDUCATION OUTREACH ACTIVITIES

Stay tuned for updates!

**APR
9** University of
Belize Job Fair
Belmopan Campus



**MAY
17** Made in Belize
Macal River, San Ignacio

**MAY
20** World Consumer Rights
Information Day
Informational Booth

**JUN
26** Public Service Fair
SIA/FSCA Informational
Booth



**AUG
8** FSC Open
Day

**SEPT
3-5** Investment
Summit
Ambergris Caye



OCT

- Let's Talk Investment Forum
- Smart Investor Tour
- World Investor Week



EVENTS

www.belizefsc.org.bz/eventspage/

(501) 822-3800

www.belizefsc.org.bz

The Gian Ghandi Building
6130 Iguana Avenue, Belmopan
Cayo District, Belize C.A

Upcoming Events under the Public Education Program

FSC Inaugural Open Day

- Friday, August 8, 2025 9am-3pm
- Gian Gandhi Building, Belmopan



FINANCIAL SERVICES COMMISSION OPEN DAY
(IN HONOR OF 25 YEARS OF SERVICE)

GENERAL PROGRAM

Objectives:

1. Showcase FSC's core functions and services
2. Highlight the role and collaborative efforts of partner regulating agencies.
3. Engage, network and build relationships
4. Increase Awareness and Community Outreach
5. Gather feedback from attendees for future initiatives.

Upcoming Events under the Public Education Program

Belize Investment Summit

Date: September 3 to September 5, 2025

Objectives:

- Engage, network and build relationships
- Increase awareness on FSC's core functions and Services with a focus on capital markets.

Upcoming Events under the Public Education Program

World Investor Week

- World Investor Week (WIW) is a week-long, global campaign promoted by IOSCO to raise awareness about the importance of investor education and protection and highlight the various initiatives of securities regulators in these two critical areas.
- In October, IOSCO members and supporters on six continents will provide a range of activities, such as launching investor-focused communications and services, promoting contests to increase awareness of investor education initiatives, organizing workshops.



Introducing World Investor Week 2025

6-12 October

IOSCO'S World Investor Week 2025 will focus on Technology and Digital Finance, Artificial Intelligence and Fraud and Scam Prevention

Upcoming Events under the Public Education Program

World Investor Week

WIW offers a unique opportunity for IOSCO members to work in collaboration with all investor education and protection stakeholders, at both the local and international level.

Principal themes include:

1. Technology and Digital Finance
2. Artificial Intelligence (AI)
3. Fraud & Scam Prevention

Upcoming Events under the Public Education Program

World Investor Week

Launch of FSC's WIW Plan: August 2025

Some of the activities:

- Social media Campaigns around thematic areas
- Let's Talk Investment Forum
- Smart Investor Tour
- Other activities

FSC's Calendar of Events



2025 CALENDAR OF EVENTS

15 SEP **Information Sharing Session:**
Walkthrough of Revised Regulatory Reports
(Targeted Session: Payment Processing Services, International Asset Protection & Management, Financial Intermediation Services)

18 SEP **Information Sharing Session:**
Guidance on Best Practices for Non-Profit Organisations to Mitigate the Risk of Terrorism Financing Abuse & New Orders Gazetted for NPOs.
(Targeted Session: Non-Profit Organizations)

23 OCT **Information Sharing Session:**
Walkthrough of Revised Regulatory Reports & Filings; Conduct of Securities Businesses; Municipalities Securities, Form 14.
Approval Process for COs, CEOs, Directors, Security Representatives, Auditors, & Requirements for Registration.
(Targeted Session: Securities)

13 NOV **Information Sharing Session:**
Walkthrough of Revised Regulatory Reports; AML Knowledge and Training of staff.
Compliance Officer Function & Approval and the Submission of a Compliance Officer Application.
(Targeted Session: Registered Agents)

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 www.belizefsc.org.bz

 The Gian Ghandi Building
6130 Iguana Avenue, Belmopan
Cayo District, Belize C.A



EVENTS

www.belizefsc.org.bz/eventspage/

Upcoming Events

- **Sept 15 - CD ISS In-Person:** Walkthrough of Revised regulatory reports
- **Sept 18 - CD ISS In-Person:** Guidance on Best Practices for Non-Profit Organisations to Mitigate the Risk of Terrorism Financing Abuse & New orders gazetted for the Non-Profit Organisations Act. (*Targeted Session: NPOs*)
- **Oct 23 - CD ISS In-Person:** Walkthrough of Revised Regulatory Reports & Filings; Conduct of Securities Businesses; Municipalities Securities, Form 14.Approval Process for COs, CEOs, Directors, Security Representatives, Auditors, & Requirements for Registration. (*Targeted Session: Securities*)
- **NOV 13 - CD ISS In-Person:** Walkthrough of Revised Regulatory Reports; AML Knowledge and Training of staff. Compliance Officer Function & Approval and the Submission of a Compliance Officer Application.

FSC's Webinar Program platform to enhance our public outreach efforts- Livestorm

 2025 WEBINAR PROGRAM	
23 APRIL Registration and Annual Filing for NPOs <i>(Targeted Session: Non-Profit Organisations)</i>	25 APRIL Understanding and fulfilling AML/CFT/CPF obligations & maintaining regulatory integrity: A Compliance Roadmap <i>(Targeted Session: Other Licensees)</i>
14 MAY Record keeping Obligations <i>(Targeted Session: Registered Agents)</i>	16 MAY Effective Complaint Management: A Guide to Resolution <i>(Targeted Session: Int'l Securities Business)</i>
30 MAY Sensitization Session <i>Targeted: Licensees & Registrants, Approved Auditors</i>	AUG Navigating the World of Securities
17 SEPT Obligation to Submit Trust Attestations & Timing for Filings: Economic Substance <i>(Targeted Session - Registered Agents)</i>	OCT Smart Investing: Essential Tips for new Investors
Stay tuned for updates on upcoming webinar sessions! ☎ (501) 822-3800 🌐 www.belizefsc.org.bz	

Upcoming Webinars

- **Sept 17** - Obligation to Submit Trust Attestations & Timing for Filings: Economic Substance (*Targeted Session: Registered Agents*)
- **Oct** – Smart Investing: Essential Tips for New Investors

Other Actions to address our Strategic objective for this year:

1. Enhance the dissemination of information to stakeholders

- Publication of our Annual Report
- Integration of a more enhanced Investor Centre Platform
- FSC in Action External Newsletter
- Social Media Campaigns

Annual Report 2024

Publication Date: August 2025.

2022 & 2023 Annual Reports
available on the FSC Website.



www.belizefsc.org.bz/annual-report/

FSC In Action External Newsletter

Vol. 1, No. 1 | June 2025

External Newsletter
FSC IN ACTION



A Message from the Director General

As we launch the inaugural edition of *FSC in Action*, I am pleased to welcome you to this new quarterly publication designed to keep you informed, engaged, and connected to the work of the Financial Services Commission.

Integrity, accountability, and adaptability remain the core values that shape everything we do at the Commission. This newsletter is a reflection of that commitment—offering timely insights into regulatory developments, industry trends, and the initiatives shaping the future of Belize's financial sector.

As we celebrate 25 years of service, we do so with pride in how far we have come and with purpose in the journey ahead. I invite you to stay connected with us as we continue to advance a dynamic, transparent, and resilient financial system for all.

Warm regards,
Claude B.F. Haylock
Director General, Deputy Chairman

INDUSTRY UPDATES

Strategic Plan 2025-2027

The Financial Services Commission (the "FSC") officially launched its Strategic Plan 2025-2027. The strategic plan is guided by four strategic priority areas:

- Stakeholder Cooperation
- Financial Safety and Stability
- Technology Optimization



PRESS RELEASES & NEWS



Belize Emerges as Global Leader in Anti-Money Laundering and Countering-Terrorism Efforts

The Caribbean Financial Action Task Force (CFATF) has officially published Belize's 4th Round Mutual Evaluation Report, showcasing the country's significant progress in combating money laundering, terrorism financing, and the proliferation of weapons of mass destruction. The FSC joined the Government of Belize in celebrating this incredible milestone for the country.

Press Release is courtesy of the Government of Belize Press Office



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AUGUST							SEPTEMBER						
M	T	W	T	F	S	S	M	T	W	T	F	S	S
				1	2	3	1	2	3	4	5	6	7
4	5	6	7	8	9	10	8	9	10	11	12	13	14
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18	19	20	21	22	23	24	22	23	24	25	26	27	28
25	26	27	28	29	30	31	29	30					

Day	Concept	Day	Concept
5	Tuesday Tip	2	FSC Awareness
7	FSC Awareness	4	FSC Tip
11	FSC Term	12	FAQ Friday
14	National Financial Awareness Day	15	Poll/Quiz
15	FAQ Friday	18	CD ISS (NPO/NGO)
19	Tuesday Tip	19	FAQ Friday
21	Poll/Quiz	23	FSC Awareness
25	FSC Awareness	25	FSC Term
27	Belize Investment Summit 2025	29	Fact vs Myth
29	FAQ Friday		



Contact Us

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