



ECONOMIC SUBSTANCE ACT
(經濟實質法)
FORM B

**INCLUDED ENTITIES OR HOLDING COMPANIES (ENGAGED, WHOLLY OR IN PART,
IN RELEVANT ACTIVITIES**

包含實體或控股公司 (全部從事或部分從事相關活動)

Instructions

指示說明

- 1** This Form must be completed annually by **Included Entities or Holding Companies (engaged, wholly or in part in relevant activities)** (excluding PEHC [see Form C for PEHC]) and **MUST** be submitted within nine (9) months after the end of the fiscal year reporting period. This Form must be routed through the respective registered agents for submission to the Commission via email address, economicsubstanceforms@belizefsc.org.bz. This Form must be completed in English.

包含實體或控股公司 (全部從事或部分從事相關活動) 需每年填寫此表格 (不包括純控股公司 [請看表格C]) 及經由註冊代理在財年後九個月內使用電子郵件提交給委員會。

電子郵件: economicsubstanceforms@belizefsc.org.bz
- 2** Complete all applicable sections and where necessary, attach additional supporting documentation along with this Form. If a section does not apply, kindly indicate "Not applicable" or N/A in the space provided.

請酌情完成填寫此表格，及附上證明文件。如有不適用的，請填寫 "Not Applicable" 或是 "N/A"。
- 3** Form B must be completed and signed either by a director (that is not nominee) or by a majority shareholder.

請務必用英文完成填寫及由董事(非代理人)或主要股東簽屬表格 B。
- 4** A letter of non-compliance may be issued for the failure to submit Form B and could attract a penalty of US \$1,000.00 per report, depending on the level of failure to submit this form, the penalty may be adjusted.

未能準時提交表格則會收到違規通知，收到違規通知後，每份報告可被罰款至 US\$1,000.00。罰款是否可調整，取決於違規程度。

FORM B - INCLUDED ENTITIES OR HOLDING COMPANIES (ENGAGED, WHOLLY OR IN PART, IN RELEVANT ACTIVITIES)

包含實體或控股公司 (全部從事或部分從事相關活動)

SECTION 1: DETAILS OF INCLUDED ENTITY OR HOLDING COMPANY (ENGAGED, WHOLLY OR IN PART, IN RELEVANT ACTIVITIES) 包含實體或控股公司 (全部從事或部分從事相關活動)明細

- 1 包含實體名稱:
Name of included entity:
- 2 是否是控股公司 (全部從事或部分從事相關活動)
Are you a Holding Company (engaged, wholly or in part, in relevant activities)? **Yes** **No**
- 3 註冊標號:
Registration number:
- 4 稅務編號:
Tax identification number (TIN):
- 6 Are you regulated?
是否有被監管? **Yes** **No**
- 7 實體註冊辦公室:
Registered Office of Entity:
- 8 實體主要辦公室(營業地點):
Principal Office of Entity
(Location of business):
- 8.1 實體副辦公室(如適用):
Secondary office of entity, if applicable

**SECTION 2: 控權人資料:
DETAILS OF CONTROLLING PERSON(S)**

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DIRECTOR(S) 董事			
<i>(Make an entry in respect of every director) 請寫入每位董事</i>			
Name (名稱)	Address (地址)	Email address (電子郵件)	Phone # (電話號碼)

Where the director is a corporate entity, supply the corporate documents (i.e. Articles and Memorandum of Association and the Certificate of Incorporation). 當董事是企業實體，請提供企業資料 (公司組織章程及公司註冊證明)

9.1

CORPORATE DIRECTOR(S) 企業董事 - where the director is a corporate body (當董事是企業機構)		
<i>(Make an entry in respect of every corporate director) (請寫入每個企業董事)</i>		
Name & Address of Corporate Directors 企業董事名稱及地址:	Jurisdiction of Incorporation 註冊地 (司法管轄地)	Date of Incorporation 註冊日期

9.1.1

SHAREHOLDER(S) 股東					
<i>(Make an entry in respect of every shareholder) (請寫入每位股東)</i>					
Name (名稱)	Address (地址)	Phone # 電話號碼	Email address (電子郵件)	Jurisdiction of tax residence 稅務居民地	% of shares 股份 %

9.1.2

CORPORATE SHAREHOLDER(S) 企業股東 - where the shareholder is a corporate body (當股東是企業機構)			
<i>(Make an entry in respect of every corporate shareholder) (請寫入每個企業股東)</i>			
Name & Address of Corporate Shareholders 企業股東名稱及地址:	Jurisdiction of Incorporation 註冊地 (司法管轄地)	Date of Incorporation 註冊日期	% of shares (股份 %)

SECTION 3: RELEVANT BUSINESS ACTIVITIES 相關業務活動

10 Relevant activity/activities you are conducting: 相關活動/進行中的活動:

- i. Banking business 銀行業務
- ii. Insurance business 保險業務
- iii. Fund management business 基金管理業務
- iv. Financing and leasing business 融資及租賃業務
- v. Headquarters business 總部業務
- vi. Distribution and service centre business 分銷和服務中心業務
- vii. Shipping business 航運業務
- viii. As a holding company, engaged, in one of the activities listed under i. to vii. 身為控股公司, 從事 i 到 vii 中之一的活動
- ix. Regulated Entities under the FSC Act 在FSC ACT 下的監管實體
(select an option from the drop down list provided below) 請選擇以下選項:

Yes/No

11 If **YES** is selected for question 10 viii, specify the relevant activity/activities and state what percentage of the Holding Company is performing the relevant activity.

如在 10 viii, 選擇 YES, 請說明此相關活動及列出該控股公司在進行此相關活動的百分比。

11.1 Are any of your subsidiary companies engaged in an activity under 10(i) to (vii) above? If yes, specify which relevant activity: 是否有子公司進行 10(i) 到 (vii) 中的相關活動? 如有YES 請說明此相關活動:

11.1.1 Description of the relevant business activity: 請說明相關業務活動:

SECTION 4: SUBSTANTIAL ECONOMIC PRESENCE REQUIREMENT INFORMATION

經濟實質存在的必備資料

A. GROSS INCOME 總收入

1.	Type of Gross Income 總收入種類	US (dollar value)美金價值	In what country is the gross income generated? 總收入國家來源

B. EXPENSES AND ASSETS 開支及資產

12.1	Type of Expenses 開支種類	US (dollar value) 美金金額	Type of Assets 資產種類	US (dollar value) 美金金額	Where are the assets? 資產所在處

C. EMPLOYEES 從業人員

13 Total number of full time employees. 全職員工總數

13.1 How many are employed in Belize? 在貝里斯員工總數

D. CORE INCOME GENERATING ACTIVITIES 核心創收之實質經濟活動

14 Provide a description of your core income generating activities. 說明核心創收之活動

SECTION 5: DESCRIPTION OF MANAGEMENT AND CONTROL ACTIVITIES 管理及監管說明

15 How many meetings of the Board of Directors (BOD) are conducted in Belize? 在貝里斯有開過幾次董事會議?

15.1 List the types of decisions made in Belize 請列出在貝里斯所決定的事件種類:

15.1.1 How many meetings of the BOD are conducted elsewhere? 在其他地區開過幾次董事會議?

15.1.2 List the types of decisions made elsewhere. 請列出在其他地區所決定的事件種類:

16 Is there a quorum of the BOD present for meetings in Belize? 在貝里斯的董事會議是否有法定人數?

Yes **No**

16.1	Provide information in respect to the following: 請提供以下資料:	Number of BOD 董事人數	Quorum 法定人數		
16.1.1	Is there a quorum of the BOD present for meetings via telephone or electronic means? 電話或是數位董事會議是否有法定人數?	Yes	No		
		<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>		

SECTION 6: BOARD OF DIRECTORS 董事會

17 Does the BOD have the necessary knowledge and expertise to discharge its duties? 董事會是否有足夠專門知識執行職務?

Name of Director 董事名稱	Expertise 專門知識 (專長)

SECTION 7: OUTSOURCING 資源外包

18 If applicable, detail the portion of your core income generating activities that are outsourced. 如適用，請列出資源外包的核心創收。

18.1 Are the outsourced core income generating activities taking place in Belize? 外包的核心創收的業務是否在貝里斯進行?

Yes No

18.1.1 Are you able to monitor and control the execution of the core income generating activities that are outsourced? 是否能監管執行外包的核心創收?

18.1.2 If applicable, provide the name and the address of the entity/entities to whom core income generating activities are outsourced.

如適用，請提供核心創收資源外包的實體名稱及地址。

18.1.3 If applicable, append additional information. 如適用，請填入附加資訊。

SECTION 8: CERTIFICATION OF THE INCLUDED ENTITY OR HOLDING COMPANY (ENGAGED, WHOLLY OR IN PART, IN RELEVANT ACTIVITIES (must be completed and signed either by a director (that is not nominee) or by a majority shareholder).

包含實體或控股公司 (全部從事或部分從事相關活動)(表格)證明書 (需由主要股東或是董事(非代理人)填寫完成)。

I certify that the particulars set out in this Form are:

就本人, 即下方簽署人, 在此(表格)證明書上所填寫

(a) true and correct to the best of my knowledge, information and belief; and

據我所知所信全屬真實無訛;

(b)

the management and control of the above named entity is not outsourced to any person and remains with the said entity.

實體的監督和控制未交於給外包, 並由該實體持有。

Signature:

簽署:

Date:

日期:

Print name:

姓名: